

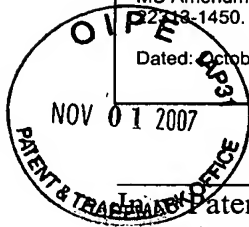
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: October 29, 2007

Signature: 

(Darren M. Simon)

1fw  
Docket No.: SONYJP 3.0-1070  
(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Initial Patent Application of:  
Kenichi Makino

Application No.: 10/816,643

Group Art Unit: 2626

Filed: April 2, 2004

Examiner: R. Dorvil

For: ENCODING APPARATUS, METHOD AND  
PROGRAM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT &  
CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(1)**

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The references were cited in an Office Action issued in connection with applicant's corresponding Japanese application. A copy of the Japanese Office Action and each non-US patent or patent application reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

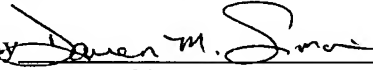
Application No.: 10/816,643

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In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: October 29, 2007

Respectfully submitted,

By 

Darren M. Simon

Registration No.: 47,946

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicants

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